



Quincy Cass Associates and Fidelity— *Working Together for You*

Helping to serve your best interests





A Powerful Combination

As your financial professional, we are expected to make decisions about your money based on your best interests and under the highest degree of scrutiny.

We use the same approach when choosing the service providers we employ to help you meet your financial objectives. This is why we've selected National Financial Services LLC ("NFS"), a Fidelity Investments® company as our custodian.

What Is a Custodian and a Clearing Firm?

A custodian is a financial institution that has certain regulatory responsibilities for an investor's securities. A clearing firm handles, among other things, the execution, clearance, and settlement of transactions. When you're selecting your financial advisor, it is crucial to consider who they use for custody and clearing.

Investments that you entrust to our firm are placed in custody with Fidelity's clearing firm, NFS – one of the largest clearing providers in the industry.

How Does Our Relationship with Fidelity Benefit You?

An Experienced, Reputable Firm Helping to Protect Your Assets and Privacy

For over 75 years, Fidelity has built a reputation of integrity and financial management experience. As a leader in the financial services industry and leading provider of workplace retirement savings plans, Fidelity has a thorough understanding of the products and services we need to help best serve you and how to deliver them to you in the most efficient and convenient ways.

Furthermore, with Fidelity, we believe we are working with a provider that strives to maintain the highest level of integrity in delivering brokerage and custody services – along with privacy protection – for your assets.

Additional Protection for Your Investments

Fidelity gives you insurance protection beyond the coverage through the Securities Investor Protection Corporation (“SIPC”). While your assets are covered by SIPC up to \$500,000, including cash claims limited to \$250,000, Fidelity provides supplemental protection for your account over and above this SIPC coverage.¹

Long-Term Vision with You in Mind

Because the firm is privately owned, Fidelity is able to make decisions based on long-term benefits – not short-term gains – for the investors it serves. Their diverse businesses and independence give us insight into the entire market and the stability needed to think and act for the long term as we deliver value to you. We feel confident doing business with Fidelity to help serve your needs, regardless of market conditions.

75+ Years of Fidelity History

1940s

Began as a private, family-run business – and still is

Edward C. Johnson 2nd founded Fidelity in 1946, served as its president for more than a quarter of a century, and shaped the company’s values and style. He encouraged taking intelligent risks rather than following the crowd, pioneering the unique approach to investment management that Fidelity Management & Research Company still follows today.

Today and beyond

Continues to innovate investing in the new millennium

Just after the turn of the century, Fidelity surpassed the \$1 trillion mark and recently reached more than \$11.8 trillion in assets under administration.² In 2014, Abigail P. (“Abby”) Johnson became chairman of Fidelity, in addition to being named chief of executive officer. She is the third generation to lead the company. With 99% coverage of the world’s market capitalization, along with an ongoing commitment to innovation, Fidelity continues to vigorously seek out and create opportunities for its customers.

¹ Securities in accounts carried by NFS are protected up to \$500,000 in accordance with SIPC. The \$500,000 total amount of SIPC protection is inclusive of up to \$250,000 protection for claims for cash, subject to periodic for inflation in accordance with terms of SIPC statute and approval by SIPC’s Board of Directors. NFS also has arranged for coverage above these limits. Neither coverage protects against a decline in the market value of securities, nor does either coverage extend to certain securities that are considered ineligible for coverage. For more details about SIPC or to request a SIPC brochure, visit www.sipc.org or call 203.371.8300.

² As of December 31, 2021.

Solutions Intended to Meet Your Unique Needs

Diversification is essential to the managing of your money for optimal returns and reduced risk.³ Working with Fidelity gives us access to wide range of solutions – from both Fidelity and other third-party firms – designed to help you meet your goals.

Broad selection of investments – With one of the largest investment option lineups available, Fidelity enables us to select what we think are the best investments for your portfolio, choosing from among thousands of Fidelity and non-Fidelity mutual funds, ETFs, equity and fixed income offerings, and more.

Planning and wealth-building resources – As with many of our investors, one of your key goals is likely to be accumulating wealth and ensuring that it is passed on as you wish. Whether you are saving for retirement or college, or need specialized wealth management services – such as trustee services, separately managed accounts, or alternative investments – you have access to the products you may need, when you need them.

Trading Strength

Because trading is a core component of the Fidelity offering, we are able to leverage Fidelity Capital Markets⁴ for the execution of your trades. The Fidelity Capital Markets team of experienced, in-house trading professionals provides us with additional insight to help us make informed decisions and is committed to helping your investment plan succeed.

Recordkeeping That Helps Simplify Your Life

The need to keep track of your transactions, including capital gains and losses, can be a burden on you – especially at tax time. Fidelity not only helps us with tracking and reporting so we can spend more time with you, but will also provide you with a simplified, consolidated statement each month reflecting all your investment positions and transactions.

At the end of the year, you will receive one 1099 tax form and a summary statement, which can make preparing your tax return much easier.

100+ Years of Quincy Cass Associates History

1920s

Originated as a boutique firm with their clients always in mind

Quincy Cass, whose family came to Los Angeles in 1888, founded his firm in 1922 in support of the economic success of Southern California's leading families. His namesake company has endured as one of the area's oldest independent investment firms to serve clients continuously since before the Great Depression

Today and beyond

Prioritizing and creating lasting relationships with clients

As of 2022 Quincy Cass Associates celebrated its 100 years of success. Spearheaded by its newly appointed CEO, Mark C. Minichiello, QCA and its team differentiates itself by catering to clients who desire personable service. Going forward the firm continues to help its clients reach their investment goals and objectives.

³ Diversification does not ensure a profit or guarantee against loss.

⁴Fidelity Capital Markets is a division of National Financial Services LLC, ("NFS"), Member NYSE, SIPC. NFS is a Fidelity Investments company.



Quincy Cass Associates and Fidelity –

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Our selection of Fidelity Investments, one of the largest providers of financial services in the nation, enables our firm to offer you the solutions that can go a long way toward helping meet your goals.



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For more information, please contact Quincy Cass Associates.



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